

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: CHIANG, Robert Hong Leung et al. Confirmation No. 4434
Application No.: 09/747,920 Filed: 12/22/2000
Patent No.: 6,955,061 Issue Date: 10/18/2005
Docket Number: 060507-1234
Entitled: REFRIGERATED MERCHANDISER WITH FLOW BAFFLE

HILL PHOENIX, INC.

(Name of Assignee)

CORPORATION

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☒ the assignee of the entire right, title, and interest; or
- ☐ an assignee of less than the entire right, title, and interest
The extent (by percentage) of its ownership interest is %

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame _____ or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: CHIANG, Robert Hong Leung et al. To: CARRIER CORPORATION
The document was recorded in the United States Patent and Trademark Office at Reel 011657, Frame 0531, or for which a copy thereof is attached.

2. From: CARRIER CORPORATION To: HILL PHOENIX, INC.
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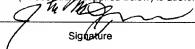
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3. If the assignment is to be recorded in the records of the USPTO, See MPEP 302.08)

The undersigned (whose name is supplied below) is authorized to act on behalf of the assignee.


Signature
John M. Lazarus (Reg. No. 48,367)

7/21/2009
Date
(414) 297-5591

Printed or Typed Name

Telephone Number

Attorney or Record

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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